

MISSOURI GAMING COMMISSION



Retail and Mobile License Application

You must make accurate statements and include all material facts. Any misrepresentation, or the failure to provide requested information, may result in the denial of the application. Any statement that is not true or not disclosed which becomes known at any later date is cause for revocation of the Retail or Mobile License.

Note: The Commission, notwithstanding the provisions of section 610.110, RSMo, has access to both closed and open records pursuant to section 313.004, RSMo. Please answer all the questions fully and thoroughly.

INSTRUCTIONS FOR RETAIL AND MOBILE LICENSE APPLICATION

The burden of proving qualifications to receive such a license is at all times on the applicant. The applicant must accept any risk of adverse public notice, embarrassment, criticism, or financial loss, which may result from action with respect to any application, and expressly waives any claim for damages as a result thereof. Information not called for in this form, or in addition to that provided in response to this form, may be requested. The applicant shall provide all information, documents, materials, and certifications at the applicant's expense.

The applicant should respond to the questions contained herein to the best of its knowledge. ***Any misrepresentation or omission is grounds for application denial.***

The applicant is under a continuing duty to immediately disclose any changes in the information provided in the application and in the requested materials submitted to the commission. The duty to make such additional disclosures shall continue throughout any period of licensure granted by the commission.

Type or print in black ink the answers to questions. If the answer or material responsive to a question has been provided in response to another portion of the application, refer to the other portion.

FORMS AND DOCUMENTS

The original and one (1) copy of each of the following forms and items, must be appropriately organized, tabbed, and submitted in letter-size expansion folders or envelopes by the applicant. All copies must be labeled with the applicant's name. Submit the forms and documents to:

Licensing Division
Missouri Gaming Commission
3417 Knipp Drive
Jefferson City, MO 65109

The following items must be submitted for the application to be considered complete:

1. Retail and Mobile License Application.
2. SW Personal Disclosure Form – Applicants for a Retail or a Mobile license must submit this form for each individual key person. The commission may require other individuals to submit this form as part of the application.
3. Any commercial agreement with an excursion gambling boat or a professional sports team.

APPLICANT LICENSE FEE AND LICENSE RENEWAL FEE

An applicant license fee will be invoiced to the applicant. The applicant license fee for a Retail license is \$250,000 and the applicant license fee for a Mobile license is \$500,000.

The license renewal fee for a Retail license is \$250,000 and the license renewal fee for a Mobile license is \$500,000.

DEFINITIONS

Definitions contained in the *Missouri Constitution* and rules also apply to this application. In addition, for the purposes of this application, the following terms shall have the following meanings:

Business entity: A partnership, incorporated or unincorporated association or group, firm, corporation, limited liability company, partnership for shares, trust, sole proprietorship, or other form of business.

Compensation: Anything of value, including salary, wages, commissions, tips, gratuities, fees, bonuses, dividends, and distributions from (S) corporations and/or partnerships, in any form, including cash, securities, real property, and tangible and intangible personal property.

Control: The power to exercise authority over or direct the management and policies of an individual or business entity.

Designated nonpublic gaming area: Portions of a facility not accessible to the public in which the operation of sports wagering occurs, including, but not limited to, the employee side of a sports wagering cage, main bank, surveillance rooms, count rooms, or rooms containing sports wagering equipment other than publicly accessible and operational kiosks. Designated nonpublic gaming area does not include off-site servers or data centers located at a facility where in-person wagering does not occur.

Financial statement: Any balance sheet, income statement, profit and loss statement, statement of cash flow, or sources and uses of funds statement.

Key business entity: Any holding, intermediary, or parent company that directly owns 15% or more of the applicant.

Key person:

1. The applicant's board appointed chief executive officer and chief financial officer, or the equivalent individuals, as determined by the commission; and
2. The applicant's principal owners who directly own 10% or more of the applicant.

Predecessor company: A business entity which no longer exists in its original form but whose assets in substantial part have been acquired by another business entity or which had undergone certain internal changes, such as those of identity, form, or capital structure.

Publicly-held company: A company that has filed a registration statement with the Securities and Exchange Commission.

Registered agent: Any individual or business entity against whom service of process may be made on behalf of any business entity or that is designated as such by any articles of incorporation or other corporate filings in any state.

**APPLICATION FOR A RETAIL OR MOBILE LICENSE
IS HEREBY MADE TO THE MISSOURI GAMING COMMISSION**

NAME OF APPLICANT*
*Name as it appears on the certificate of incorporation, charter, by-laws, or other official document. DO NOT ABBREVIATE.

D/B/A or Trade Name(s)

PERSON TO BE CONTACTED IN REFERENCE TO THIS APPLICATION

Name	Title
Address	City
E-Mail Address	Telephone Number
	Fax Number

LICENSING/COMPLIANCE CONTACT FOR THIS BUSINESS

Name	Title
Address	City
E-Mail Address	Telephone Number
	Fax Number

BILLING CONTACT FOR THIS BUSINESS

Name	Title
Address	City
E-Mail Address	Telephone Number
	Fax Number

THE PRINCIPAL BUSINESS ADDRESS OF THE APPLICANT

Address	City	State	Zip
Country	Telephone Number	Fax Number	
Mailing address (if different)	City	State	Zip
Federal Employer Identification Number (FEIN)*		Missouri Retailers Occupation Tax Number (If Applicable)	

* If the applicant does not hold a FEIN number, state the proposed date for obtaining this number and the Internal Revenue Service district where federal tax filings will occur.

LOCATION OF PROPOSED/CURRENT RETAIL SPORTS WAGERING OPERATION

Address	City	County	Zip
Telephone Number	Fax Number		

Check the appropriate box:

This application is being submitted for a Retail license for the following:

- ☐ An Excursion Gambling Boat
- ☐ A Professional Sports Team
- ☐ A Sports Wagering Operator on behalf of an Excursion Gambling Boat
Name of Excursion Gambling Boat:
- ☐ A Sports Wagering Operator on behalf of a Professional Sports Team
Name of Professional Sports Team:

This application is being submitted for a Mobile license for the following:

- ☐ An Excursion Gambling Boat
- ☐ A Professional Sports Team
- ☐ A Sports Wagering Operator on behalf of an Excursion Gambling Boat
Name of Excursion Gambling Boat:
- ☐ A Sports Wagering Operator on behalf of an Professional Sports Team
Name of Professional Sports Team:
- ☐ A Direct Mobile License

Transmittal Letter

Missouri Gaming Commission
3417 Knipp Drive
Jefferson City, MO 65109

Dear Sir or Madam:

_____ (Applicant's Name) does herewith make application for licensure by the Missouri Gaming Commission to operate a retail or mobile sports wagering operation.

The applicant will be invoiced for the applicant license fee.

The applicant agrees to disclose and to require all of the key persons associated with the applicant to disclose all information, documents, and other material which the commission may request at any time.

Further, the applicant agrees to furnish any additional information, documents, and other material requested by the commission, and agrees that such additional material shall be made a part of this application.

The applicant acknowledges that neither the acceptance of this application nor the issuance of a license hereunder shall imply that the Missouri Gaming Commission admits the truth of the statements herein made, or its approval thereof. Nor shall such acceptance or the issuance constitute waiver or agreement by the commission with respect to any material contained in this application. The applicant further acknowledges that any license or any interest in any license issued by the commission is not transferable, and that subsequent legislation or regulation may diminish the value of any license issued by the commission to any extent possible.

The applicant has read and agrees to abide by the terms of Article III, Section 39(g) of the *Missouri Constitution*, its internal controls, and any rules promulgated by the commission, including any emergency rules.

Respectfully submitted,

(Applicant's Name)

By:

(Officer)

APPLICANT INFORMATION

1. Check the category below which describes the applicant's business entity. An individual should apply as a sole proprietor. If the other specific categories are not applicable then "Other" must be indicated for any business entity which is not one of the specific categories listed. If "Other" is checked, an explanation of the business entity is required. If the space provided is insufficient, submit the explanation as **Exhibit 1**.

☐ Corporation

☐ Limited Partnership

☐ General Partnership

☐ Sole Proprietor

☐ Holding Company

☐ Trust

☐ Limited Liability Company

☐ Unincorporated Association

☐ Other _____

2. Submit as **Exhibit 2** (form attached) a list of all current key persons as defined in this application, their names, positions, percentages of ownership in the applicant, and the amounts of and dates when compensation was received from the applicant during the five (5) years prior to the filing of this application.

An SW Personal Disclosure Form shall be submitted for each key person.

3. (A) Submit as **Exhibit 3(A)** a detailed description of the applicant's business, including the background and skills of the applicant and the applicant's key persons in the field of sports wagering. Identify and describe any predecessor company of the applicant.

(B) Submit as **Exhibit 3(B)** (form attached) a list of all current and former addresses which the applicant has held or from which it has conducted business within ten (10) years from the date of filing this application, including the approximate time periods during which such addresses were held.

4. Applicants for a direct Mobile license shall submit as **Exhibit 4** documents and statements which substantiate the following:
- (A) Expertise in the business of online sports wagering;
 - (B) Integrity, sustainability, and safety of the applicant's online sports wagering platform;
 - (C) Past relevant experience of the applicant;
 - (D) Advertising and promotional plans to increase and sustain revenue;
 - (E) Ability to generate, maximize, and sustain revenues for the state;
 - (F) Demonstrated commitment to and plans for the promotion of responsible gaming; and
 - (G) Capacity to increase the number of bettors on the applicant's online sports wagering platform.

5. If the applicant does business outside Missouri, submit as **Exhibit 5** a statement listing all other jurisdictions where the applicant does business, describing the business activity conducted in those other jurisdictions, and listing all addresses from which the applicant currently does business.
6. Submit as **Exhibit 6** (form attached) a schedule listing the name, business address, and telephone number for each of the applicant's Missouri:
 - (A) Registered agent(s);
 - (B) Legal representatives;
 - (C) Accounting services representatives;
 - (D) Banking and financial services representatives;
 - (E) Underwriter(s); and
 - (F) Custodian of business records.
7. State whether any of the securities or debt offerings of the applicant or any of the applicant's key persons or key business entities have been suspended from trading or have had action taken against them by any regulatory agency:

ANSWER: _____

If the answer is "yes", submit as **Exhibit 7** a detailed statement describing each suspension or action, the date, and the final disposition.

8. State whether the applicant has ever been or currently is a party to a lawsuit (other than divorce proceedings):

ANSWER: _____

If the answer is "yes", submit as **Exhibit 8** a detailed list of all cases, including bankruptcies, stating for each lawsuit:

- (A) Names of the parties;
- (B) The case number;
- (C) The name of the court and its location;
- (D) The type and nature of the case; and
- (E) The disposition of the case, including the terms of any settlement, the result of any trial, and the result of any appeal.

In addition, for all pending litigation, unsatisfied judgments, decrees, restraining orders, or injunctive orders, state:

- (F) In detail all pertinent facts, including the type and amount of relief sought; and
- (G) An assessment of the impact, if any, which the action may have on the applicant's proposed sports wagering operation.

9. To the extent not already disclosed in your answer to Question 8, state whether the applicant has ever been involved in any formal or informal process or agreement to adjust, defer, suspend, or otherwise work out the payment of any debt:

ANSWER: _____

If the answer is “yes”, submit as **Exhibit 9** a statement setting forth all details concerning each debt and the relating formal or informal process or agreement.

10. State whether the applicant or substantial creditors have been delinquent in the payment of, or in dispute over the filings concerning the payment of any tax required under federal, state, or municipal law:

ANSWER: _____

If the answer is “yes”, submit as **Exhibit 10** a detailed statement describing the taxing agency and location, amount and type of tax, the date the filing or tax report was required, the date the filing or remission was accomplished, and the complete circumstances surrounding the delinquency or dispute.

11. State whether the applicant has ever had any municipal, state, or federal tax returns audited or adjusted:

ANSWER: _____

If the answer is “yes”, submit as **Exhibit 11** a statement describing in detail the facts, circumstances, and results of that audit or adjustment.

12. State whether the applicant is a corporation:

ANSWER: _____

If the answer is “yes”, submit as **Exhibit 12**:

- (A) The full corporate name, including all former trade or fictitious names, the address and telephone number of the corporate headquarters, and the FEIN numbers for transporting gaming equipment held by the corporation;
- (B) The date the applicant commenced doing business in Missouri, the name of the state in which the corporation is incorporated, the date of incorporation, and, if a corporation is not incorporated in Missouri, whether the corporation is authorized to conduct business in Missouri;
- (C) Attach copies of each of the following that apply: Articles of Incorporation, bylaws and all amendments, the most current annual report (which shall include audited financial statements), fictitious name registration, and the certificate of authority to conduct business in Missouri;
- (D) For the corporation:
 - 1) State and federal tax returns for the past five (5) years, and all tax identification numbers, sales tax numbers, employer withholding tax numbers, and corporate income tax numbers;
 - 2) Whether the applicant is publicly held as defined by the Securities and Exchange Commission;
 - 3) The classes of stock and number of shares;
 - 4) The terms, rights, privileges, and other information each class of stock possesses;

- 5) The number of shares authorized, issued, or outstanding;
- 6) The par value, current market price, and issue price of the shares;
- 7) The voting rights per class of share (if the right of holders of any class of stock may be modified otherwise than by a vote of a majority or more of the outstanding shares so affected, voting as a class, so state and briefly explain);
- 8) The exchange, if any, on which any class of stock may be traded;
- 9) A list of the names, addresses, and number of shares held for all holders of outstanding shares;
- 10) A list of any other obligations or securities which have been or will be pledged and the name, address, and telephone number of the pledgor and pledgee of such stock certificates in a corporation which is not a publicly-held company. In addition, describe any conditions upon which the units may be voted;
- 11) In alphabetical order, list the names and addresses of the members of the board of directors. As to each, state the number of shares held, and if a director owns no shares, then so state;
- 12) In alphabetical order, list the names and addresses of the officers. As to each, state the number of shares held on record, and if an officer owns no shares, then so state; and
- 13) In alphabetical order, list the names and addresses of each record stockholder of the corporation, together with percentage of voting shares of stock owned. In addition, attach a copy of the most recent registration statement and annual report filed with the Securities and Exchange Commission and any filings with any states' Commissioner of Securities or applicable exemption from state registration.

13. If the applicant is a business entity other than a corporation, submit as **Exhibit 13**:

- (A) A detailed description of the organization of the business entity;
- (B) The applicant's federal and state tax returns for the past five (5) years;
- (C) For the business entity as applicable, the name (including all former trade names, assumed names, or fictitious names), business and residential addresses and telephone numbers, Social Security number, and FEIN number(s) held for transporting gaming equipment, place and date of birth, country of citizenship, occupation, place and date of organization, and percentage of ownership and dates when participation occurred;
- (D) For a business entity which is not organized under Missouri law, a statement identifying the law under which it is organized and stating whether it is authorized to conduct business in Missouri, and the date the applicant commenced doing business in Missouri;
- (E) If no authorization to do business in Missouri has been obtained, state why it has not been obtained;
- (F) The name and address of each participant in the business entity which is a general partner, limited partner, unincorporated associate, or other business entity other than a corporation; attach copies of any written agreement, constitution, or other document creating or governing the applicant's organization or powers of organization;
- (G) The name and address of each participant in the business entity which is a corporation; and
- (H) If the applicant is a partnership, a statement setting forth for each partner:
 - 1) The amount of initial investment, whether in the form of cash, negotiable instruments, property, or otherwise;
 - 2) The amount and nature of any anticipated future investments;
 - 3) The degree of control over the activities of the partnership; and

4) The method of distributing partnership profits.

14. State whether the applicant or parent company (if the applicant is a subsidiary) currently holds or has ever held a sports wagering related license issued by any jurisdiction:

ANSWER: _____

If the answer is “yes”, submit as **Exhibit 14** (form attached) a description stating:

- (A) The identification of the license or permit holder;
- (B) The jurisdiction issuing the license or permit;
- (C) The nature of the permit or license;
- (D) The dates of issuance and termination; and
- (E) Submit a copy of each such license or permit.

15. State whether the applicant:

- (A) Has been convicted of a felony under the laws of Missouri, any other state, the United States, or any other jurisdiction;
- (B) Has been convicted of any gambling offense in any state or federal court of the United States;
- (C) Has any key person who currently serves or has served within the past two (2) years as a member of the commission, an employee of the commission, a member of the Missouri General Assembly, or as an elected or appointed official of the State of Missouri; or
- (D) Has had any gaming or sports wagering license or certificate issued by a licensing authority denied, restricted, suspended, revoked, or not renewed in any jurisdiction.

ANSWER: (A): _____

(B): _____

(C): _____

(D): _____

If the answer to Question 15(D) is “yes”, submit as **Exhibit 15** a statement describing in detail the facts and circumstances concerning that denial, restriction, suspension, revocation, or nonrenewal, including the licensing authority, the date each action was taken, and the reason for each such action.

16. To the extent not disclosed in response to Questions 15(A) and (B), state whether the applicant has been arrested, detained, charged, indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor [except for traffic violations for which the maximum possible punishment was a fine not in excess of fifty dollars (\$50)].

ANSWER: _____

If the answer is “yes”, submit as **Exhibit 16** (form attached) a statement setting forth for each case:

- (A) The date;
- (B) The names, addresses, and telephone numbers of the individuals and business entities involved;
- (C) The name and location of the court, arresting agency, and prosecuting agency;
- (D) The case number;
- (E) The offense;
- (F) The disposition; and
- (G) The location and length of incarceration.

17. Submit as **Exhibit 17** a list identifying every key business entity as defined in this application. For each, state the name, address, and the percentage of ownership in the applicant.

18. Submit as **Exhibit 18** a statement containing:

- (A) A list of all debt instruments of the applicant;
- (B) A list of the names, addresses, and telephone numbers of all holders of each instrument; and
- (C) A list of the amount of outstanding debt relating to each debt instrument.

FINANCIAL INFORMATION

19. Submit as **Exhibits 19(A) through 19(M)** copies of the following documents which apply to the applicant:
 - (A) Partnership agreement;
 - (B) Trust agreement;
 - (C) Joint venture agreement;
 - (D) Certified of the Articles of Incorporation or corporate charters, and amendments thereto of the applicant and its affiliated companies;
 - (E) A Certificate of Good Standing of the state of incorporation of the applicant;
 - (F) As applicable, a Certificate of Good Standing issued by the Missouri Secretary of State indicating that the applicant is qualified to do business in Missouri;
 - (G) Articles of association;
 - (H) Bylaws;
 - (I) A list of contracts, leasing, or rental agreements or other agreements relating to sports wagering;
 - (J) A list of non-gaming agreements or contracts exceeding fifty thousand dollars (\$50,000);
 - (K) A list of non-gaming lease agreements which have an annualized rental exceeding fifty thousand dollars (\$50,000);
 - (L) A list of purchase or sale agreements relating to sports wagering; and
 - (M) Executive level organizational charts and any other organizational charts relating to the applicant's sports wagering operation, including position descriptions and the names of individuals holding those positions.

20. Submit as **Exhibit 20** (form attached) a statement setting forth for each business entity in which stock is held by or on behalf of the applicant relating to the applicant's operation or the gaming industry:
 - (A) The name, address, and telephone number of each company;
 - (B) The class of stock held;
 - (C) The purchase price per share;
 - (D) The current market value per share;
 - (E) The number of shares held; and
 - (F) The percentage of ownership.

21. If the applicant is a publicly-held company, submit as **Exhibit 21** copies of any state or federal registration statements and any other documents filed within the last three (3) fiscal years, including, without limitation, proxy or information statements filed pursuant to Section 14 of the Securities Exchange Act of 1934, annual reports (Form 10K), quarterly reports (Form 10Q), periodic reports (Form 8K), and statements prepared in accordance with regulation S-X, under the Securities Act of 1933, the Securities Exchange Act of 1934, the Public Utility Holding Company Act of 1935, or the Investment Company Act of 1940.

22. Submit as **Exhibit 22(A) and 22(B)**, as applicable, copies of the following documents for the applicant for the last three (3) fiscal years and for the period ending one (1) month prior to the date of application, or where the applicant has not existed as a business entity in any jurisdiction for the last three (3) fiscal years, for the applicant or any predecessor company to the extent that these documents exist for the last three (3) fiscal years:

- (A) Financial statements and state and federal tax returns, certified or authenticated by independent certified public accountants, if such certified or authenticated documents have been prepared; and
- (B) Management representatives and lawyer's contingency letters provided to certified public accountants pertaining to certified financial audits and all reports and correspondence which pertain to the issuance of financial statements, managerial advisory services, or internal control recommendations.

23. Submit as **Exhibit 23** (form attached) a schedule listing the accounts or instruments held by the applicant during the three (3) year period prior to the date of this application from any bank, savings and loan association, credit union or other financial institution, domestic or foreign, and whether such account or instrument was or is held in the name of the applicant, a nominee of the applicant, or was or is otherwise under the direct or indirect control of the applicant, stating for each such account or instrument:
- (A) The name, business address, and telephone number of the financial institution involved;
 - (B) The type of account or instrument;
 - (C) The account or instrument number;
 - (D) The rate of interest;
 - (E) The time period during which the account or instrument was or will be held; and
 - (F) The opening and current or closing balance.
24. Submit as **Exhibit 24** a detailed statement concerning the capitalization of the proposed retail or mobile sports wagering operation, including the amount and source of debt and equity involved, and the guarantees which the applicant can offer that the applicant will be able to operate a retail or mobile sports wagering operation throughout the duration of the license.

OPERATIONS INFORMATION

25. Submit as **Exhibit 25** a detailed statement concerning the proposed sports wagering operation.

For a retail sports wagering operation, include the exact location where each retail sports wagering area will be located, including the distance from the sports facility, if applicable, and the capacity of each retail area. Include a detailed layout of each sports wagering area to include the accessibility of disabled persons and the description of how the proposed layout prevents access to individuals under twenty-one years of age. Additionally, include a schedule of operating hours.

For a mobile sports wagering operation, include the web address of the platform, the name of the platform application (app), and geofencing information. Also identify methods used to verify patron identity to prevent self-excluded persons, MGC-excluded persons, and individuals under twenty-one (21) years of age from wagering via the website or app.

26. Submit as **Exhibit 26** a detailed statement describing the applicant's plan for security in both the retail sports wagering area and other designated nonpublic gaming areas. Identify and describe all surveillance equipment which will be used, and explain how the equipment will be placed and monitored.
27. Submit as **Exhibit 27** (form attached) a detailed description and list of the sports wagering equipment which is planned for use at each retail sports wagering location, identifying which will be owned and which will be leased or rented, and describing where and how the sports wagering equipment will be stored and maintained.
28. To the extent they have been identified, submit as **Exhibit 28** (form attached) a statement disclosing the name, address, and telephone number of each sports wagering supplier which will be utilized by the applicant.

Schedule of Exhibits

If an exhibit is not applicable, indicate “N.A.”.

Exhibit Number	Person who made or directed preparation of exhibit (state which)	Official Title
1		
2		
3(A)		
3(B)		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19(A)		
19(B)		
19(C)		
19(D)		
19(E)		
19(F)		
19(G)		
19(H)		
19(I)		
19(J)		
19(K)		
19(L)		
19(M)		
20		
21		
22(A)		
22(B)		
23		
24		
25		
26		
27		
28		

Applicant's Authorization and Request to Release Information

To: _____
(Leave Blank)

From: _____
(Applicant's Name)

1. The applicant hereby authorizes and requests all persons to whom this request is presented having information relating to or concerning the applicant to furnish such information to a duly appointed agent of the Missouri Gaming Commission or Missouri State Highway Patrol, whether or not such information would otherwise be protected from disclosure by any constitutional, statutory, or other legal privilege.
2. The applicant hereby authorizes and requests all persons to whom or entities to which this request is presented having documents relating to or concerning the applicant to permit a duly appointed agent of the Missouri Gaming Commission or Missouri State Highway Patrol to review and copy any such constitutional, statutory, or other legal privilege.
3. If the person to whom or entity to which this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of same, the applicant hereby authorizes and requests that a duly appointed agent of the Missouri Gaming Commission or Missouri State Highway Patrol be permitted to review and obtain copies of any documents, records, or correspondence pertaining to the applicant, including, but not limited to past loan information, notes co-signed by applicant, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.
4. The applicant hereby makes, constitutes, and appoints any duly appointed agent of the Missouri Gaming Commission or Missouri State Highway Patrol the applicant's true and lawful attorney-in-fact for the applicant in the applicant's name, place, stead, and on the applicant's behalf and for the applicant's use and benefit:
 - (A) To request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person or entity to whom this request is presented as the applicant might;
 - (B) To name the person or entity to whom this request is presented and insert that person's or entity's name in the appropriated location on this request; and
 - (C) To place the name of the Missouri Gaming Commission or Missouri State Highway Patrol's agent presenting this request in the appropriate location on this request.
5. The applicant grants to said attorney-in-fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the applicant might or could do, with full power of substitution of revocation, hereby ratifying and confirming all that the attorney-in-fact, or his/her substitute(s), shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.
6. This power of attorney ends twenty-four (24) months from the date of execution or at the termination of all licenses issued to the applicant by the Missouri Gaming Commission, whichever occurs later.

7. The applicant has filed the “Application” with the Missouri Gaming Commission. The applicant understands that he, she, or it is seeking the granting of a privilege and acknowledges that the burden of proving the applicant’s qualifications for a favorable determination is at all times on the applicant. The applicant accepts any risk of adverse public notice, embarrassment, criticism, or financial loss, which may result from action with respect to this application.
8. The applicant does, for itself, its heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the person or entity to whom this request is presented, and his/her/its agents and employees from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known or unknown, in law or equity, which the applicant ever had, now has, may have, or claim to have against the person or entity to whom this request is presented or his, her, or its agents or employees arising out of or by reason of complying with this request.
9. The applicant agrees to indemnify and hold harmless the person or entity to whom this request is presented and his, her, or its agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorney’s fees arising out of or by reason of complying with this request.
10. A reproduction of this request by photocopy shall be for all intents and purposes as valid as the original.

IN WITNESS WHEREOF, I have executed this request at

_____ (City) _____ (State)

on the _____ day of _____ 20____.

Applicant: _____

By: _____

Subscribed and sworn to before me this _____ day of _____, 20____

(Notary Public)

(Notarial Seal)

My commission expires: _____

Notary Public in and for the County of _____

State of _____

Release of All Claims

The undersigned has filed with the Missouri Gaming Commission (commission) certain forms and documents in connection with a written request for licensing by the commission ("Application"). In consideration of the assurance by the commission that no vote on the application will be taken except after a deliberate, intensive, and thorough investigation of the undersigned, including, but not limited to, background, successors and assigns, hereby release, remise, and forever discharge the State of Missouri, the commission, its members, agents, and employees, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which the undersigned ever had, now has, may have, or claim to have against any or all of said entities or individuals arising out of or by reason of the processing or investigation of or other action relating to the application.

I, the duly authorized (Office) _____ of the undersigned, have read this affidavit and understand its terms. On behalf of and in accordance with the instructions of the undersigned, I execute it with full knowledge that the undersigned will be bound hereby.

IN WITNESS WHEREOF, I have executed this request at

(City) (State)

on the _____ day of _____ 20____.

Applicant: _____
By: _____
Its: _____

Subscribed and sworn to before me this _____ day of _____, 20____

(Notary Public)

(Notarial Seal)

My commission expires: _____

Notary Public in and for the County of _____

State of _____

AFFIDAVIT OF APPLICANT

I, _____ (printed name), am authorized to complete and execute this Affidavit on behalf of the Applicant, _____ (printed name of Applicant). I am also authorized by the Applicant to provide all the information requested on this Affidavit to the Missouri Gaming Commission (the "Commission"), and to make the representations set forth in this Affidavit. I have read and fully understand the purpose of this Affidavit. The Applicant herein has identified Key Persons as disclosed on this Application and in the SW Personal Disclosure Form(s) associated with this Application for licensure. As the authorized representative of the Applicant, I attest to the suitability of each of the Applicant's Key Persons. I understand that any misrepresentation or omission by a Key Person of the Applicant may lead to the delay or denial of the Applicant's license or may result in the Commission imposing disciplinary action against the Applicant, up to and including revocation of its license. I further understand that any violations of Article III, Section 39(g) of the Missouri Constitution, the Revised Statutes of Missouri, the Code of State Regulations, or any other rule of the Commission by any Key Person of the Applicant may also result in disciplinary action against the Applicant, up to and including revocation of its license. I understand and acknowledge that the Applicant has an ongoing duty to promptly notify the Commission if any information it has provided with respect to its Key Persons changes.

Signature of Authorized Representative

Date

Printed Name of Authorized Representative

Title

NOTARY PUBLIC

The undersigned, a Notary Public in and for the County of _____, in the State of _____, certifies that the above-named individual appeared in person, and before me, either known to me or satisfactorily proven to be the individual whose name subscribed to the within instrument and signed this Affidavit.

This _____ day of _____, 20____, and to which witness my hand and seal.

Notary Public

STAMP OR SEAL

Printed Name

My Commission Expires on _____, 20____.

Affidavit of Full Disclosure

State of _____ }
County of _____ } SS

I, _____ (Officer), being the duly authorized _____ (Office) of _____ (Name of Applicant), being first duly sworn upon oath or affirmation, depose and state-

That, except as reported in the applicant’s Application (“Application”), the applicant has no agreements or understandings with any person or entity and no present intent to hold as agent, nominee, or otherwise any interest in the Application;

That, except as reported in the Application, the applicant has no agreements or understandings with any person or entity and no present intent to pay any sums of money or give anything of value as, including, but without limitation, a finder’s fee or commission to any person or entity related to the acquisition of any interest in the Application;

That, except as reported in the Application, the applicant has no agreements or understandings and no present intent to pay any sums of money or give anything of value as, including, but without limitation, a finder’s fee or commission to any person or entity related to the sale of any interest in the Application;

That, any funds used or to be used, and any liabilities incurred or to be incurred by the applicant in the acquisition of any interest in the Application were not provided to the applicant or made available to the applicant through the efforts of any person or entity not reported in the Application;

That, except as reported in the Application, no person or entity has provided collateral for or guaranteed payment of any loans made to the applicant which relate to the Application.

I, the duly authorized _____ (Office) of the undersigned, have read this Affidavit of Full Disclosure and understand its terms. On behalf of and in accordance with the instructions of the undersigned, I execute it with full knowledge that the undersigned will be bound hereby.

Applicant: _____
By: _____
Its: _____

Subscribed and sworn to before me this _____ day of _____, 20_____

(Notary Public)

(Notarial Seal)

Notary Public in and for the County of _____ My commission expires: _____
State of _____

Verification

State of _____ }
County of _____ } SS

I, _____ being first duly sworn upon oath or affirmation, depose and state:

- 1. I am the individual who is submitting this form;
- 2. I personally supplied the information contained in this form;
- 3. I swear (or affirm) that the information contained in this form is true, complete, and accurate to the best of my knowledge and belief; and
- 4. I swear that I have read and agree to abide by the terms of Article III, Section 39(g) of the *Missouri Constitution*, its internal controls, and any rules promulgated by the Missouri Gaming Commission, including any emergency rules.

(Individual’s Signature)

Subscribed and sworn to before me this _____ day of _____, 20_____

(Notary Public)

(Notarial Seal)

My commission expires: _____

Notary Public in and for the County of _____

State of _____

Request IRS Account Transcripts

You can request your IRS account transcripts at the following site:

<http://www.irs.gov/Individuals/Get-Transcript>

- You will need to request IRS account transcripts for each of the past **5 years**
- You can download and print your IRS account transcripts immediately by clicking on “**Get Transcript Online**”
- Please place a copy of your IRS account transcripts behind this page in the application
- IRS account transcripts can alternately be requested by filing Form 4506-T and placing a check in box 6b (Account Transcript)

☐ IRS Account Transcripts included with my application.

IMPORTANT
Form 943, Request for Tax Clearance

Form 943 can be found at the following site: <http://dor.mo.gov/forms/943.pdf>

- Do **NOT** mail or fax Form 943 to the Missouri Department of Revenue
- When completing the form:
 - Under “Reason(s) for Request”, for question number 3:
 - Place a check in box “Other”
 - List “Gaming License”
 - Under “Authorization”, enter the following information:

Name of Person Authorized to Receive This Douglas Fessenden,
Information: Missouri Gaming Commission

Title: Gaming Agent

Phone Number: (573) 526-4080

Address: P.O. Box 1847

City: Jefferson City

State: MO

Zip Code: 65102

Email Address of Authorized Person: Douglas.Fessenden@mgc.dps.mo.gov

- Complete and sign the form and submit it to the Missouri Gaming Commission along with your completed application.

☐ Form 943 is completed and included with my application.

Public Disclosure

Instructions: All applicants for licensure, all licensees, and all key persons are required to fully and completely supply all information requested by this form, **even though much of the information requested may have been previously disclosed in the application**. Where the answer may be derived or ascertained from the records of the applicant, licensee, or key person, the applicant, licensee, or key person may attach such records as exhibits and reference the exhibits in the corresponding answer. This form will be used by the Missouri Gaming Commission to disclose this information to any person upon request. Each applicant, licensee, or key person has a continuing obligation to update and supplement the information contained in this form. Portions of the form may not apply to each applicant, licensee, or key person; however, each applicant, licensee, or key person is instructed to complete all sections of the form that apply.

1. State the name, business address, and business telephone number of the applicant, licensee, or key person.

Answer:

2. Identify the applicant, licensee, or key person, including, if the applicant, licensee, or key person is not an individual, the state of incorporation or registration, and the corporate officers.

Answer:

3. State whether the applicant, licensee, or key person has been indicted, convicted of, pleaded guilty or nolo contendere to, or forfeited bail for any criminal offense under the laws of any jurisdiction, either felony or misdemeanor, except for traffic violations, including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition, and the location and length of incarceration.

Answer:

4. State whether the applicant, licensee, or key person has had any license or certificate issued by a licensing authority in this state or any jurisdiction denied, restricted, suspended, revoked, or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation, or nonrenewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

Answer:

5. State the name and business telephone number of the counsel representing the applicant, licensee, or key person in matters before the commission.

Answer:

6. A description of the product or service to be supplied by an SW Supplier applicant or licensee.

Answer:

Public Disclosure Verification

State of _____ }
County of _____ } SS

I, _____, being first duly sworn upon oath or affirmation, depose and state:

- 1. I am the applicant, licensee, or key person submitting this Public Disclosure Section.
- 2. I personally supplied the information contained in this form.
- 3. I swear (or affirm) that the information contained in this form is true, complete, and accurate to the best of my knowledge and belief.
- 4. I understand and agree that the Public Disclosure Form will be provided to any member of the public who requests this information from the Missouri Gaming Commission. I further understand my continuing obligations to update and supplement this form if any of the information provided changes.
- 5. I swear that I have read and agree to abide by the terms of the Article III, Section 39(g) of the *Missouri Constitution* and any rules promulgated by the commission, including any emergency rules.

(Individual's Signature)

Subscribed and sworn to before me this _____ day of _____, 20_____

(Notary Public)

(Notarial Seal)

My commission expires: _____

Notary Public in and for the County of _____

State of _____

Exhibit 2

NAME	POSITION	PERCENTAGE OF OWNERSHIP IN THE APPLICANT	AMOUNT AND DATES OF COMPENSATION RECEIVED

Exhibit 3(B)

NUMBER AND STREET	CITY	STATE	ZIP	DATES	
				FROM:	TO:

Exhibit 6

NAME	BUSINESS ADDRESS	PHONE NUMBER(S)	RELATIONSHIP TO THE APPLICANT

Exhibit 14

NAME OF LICENSE OR PERMIT HOLDER	JURISDICTION ISSUING	NATURE OF PERMIT OR LICENSE	DATES OF ISSUANCE AND TERMINATION

Exhibit 16

DATE	NAME, ADDRESSES & PHONE NUMBERS	NAME & LOCATION OF COURT, ARRESTING AGENCY & PROSECUTING AGENCY	CASE NUMBER	OFFENSE	DISPOSITION	LOCATION & LENGTH OF INCARCERATION

Exhibit 20

NAME	ADDRESS	TELEPHONE NUMBER	CLASS OF STOCK	PURCHASE PRICE PER SHARE	CURRENT MARKET VALUE PER SHARE	NUMBER OF SHARES HELD	PERCENTAGE OF OWNERSHIP

Exhibit 23

NAME OF FINANCIAL INSTITUTION	ADDRESS	TELEPHONE NUMBER	TYPE OF ACCOUNT OR INSTRUMENT	ACCOUNT NUMBER OR INSTURMENT NUMBER	RATE OF INTEREST	TIME PERIOD	OPENING AND CURRENT OR CLOSING BALANCE

Exhibit 27

SPORTS WAGERING EQUIPMENT	OWNED/LEASED	MAINTAINED

Exhibit 28

COMPANY	ADDRESS	TELEPHONE NUMBER	SPORTS WAGERING EQUIPMENT / SERVICE	TYPE OF MAINTENANCE OR REPAIR SERVICES